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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/234,028	01/20/1999	RONALD T. RAINES	960296.95360	6579
26734	7590	09/24/2007		
QUARLES & BRADY LLP 33 E. MAIN ST, SUITE 900 P.O. BOX 2113 MADISON, WI 53701-2113			EXAMINER HUTSON, RICHARD G	
			ART UNIT 1652	PAPER NUMBER
			MAIL DATE 09/24/2007	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No.	Applicant(s)	
	09/234,028	RAINES, RONALD T.	
	Examiner	Art Unit	
	Richard G. Hutson	1652	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 7/16/2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-7, 9, 10 and 15 -17 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-7, 9, 10 and 15 -17 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Applicants amendment of claims 1-3, 9, 15 and 16, and the addition of new claim 17, in the paper of 7/16/2007, is acknowledged. Claims 1-7, 9, 10 and 15 -17 are at issue and are present for examination.

Applicants' arguments filed on 7/16/2007, have been fully considered and are deemed to be persuasive to overcome some of the rejections previously applied. Rejections and/or objections not reiterated from previous office actions are hereby withdrawn.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 16 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 16 is indefinite in that it is confusing and unclear in the recitation "wherein the variant has an amino acid substitution in at least one of two adjacent cysteine residues", and wherein the substitution is an alanine to a cysteine." This recitation is unclear because it appears that it requires that 1) a substitution be made in a cysteine residue, but then goes on to specify that 2) the substitution is an alanine to a cysteine residue. These two requirements of 1) and 2) appear to be inconsistent and therefore unclear.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-7, 9, 10 and 15-17 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

This rejection was stated in the previous office action as it applied to previous claims 1-7, 9, 10 and 15. In response to the previous rejection, applicants have amended claims 1-3, 9 and 15, and added new claim 17 and traverse the rejection as it applies to the newly amended claims.

Applicants note that they disagree with the rejection and traverse the rejection on the following basis. Applicants submit that to expedite prosecution on the merits, applicant have amended Claim 1 to clarify that the structure of the native ribonuclease inhibitor (RI) is defined by SEQ ID NOS: 2 and 3. Applicants further submit that applicants have amended Claims 2, 9 and 15 to recite the structural limitation of SEQ ID NO:3. Applicants further submit that applicants have added new Claim 17 mirroring Claim 2, but defining the structure of the native RI to be porcine, SEQ ID NO:2. In view of these amendments, applicant respectfully requests reconsideration of this rejection as applied to Claims 1-7, 9-10 and 15.

Applicants complete argument and amendments are acknowledged and have been carefully considered, however, continue to be found non-persuasive for the reasons previously made of record and for those repeated herein. Applicant's amendment and supporting argument is not persuasive because applicant's amendment continues to describe the native RI from which the variant RI was generated, and not the claimed variant RI itself.

Applicants amendments to the claims continue to be directed to the "means by which" the final product is obtained, rather than to the actual final claimed product (i.e. the ribonuclease inhibitor variant or mutant). As these means or processes do not place limitations on the final claimed product, applicants have not thus limited the scope of the claimed product and the scope of the claimed product continues to not be adequately described for the reasons previously stated.

Applicants continue to be reminded that while applicants specification provides two examples of ribonuclease inhibitors variants that could be the result of those "process limitations" required by the claims, two species is not sufficient to adequately describe the genus of claims which includes any and all such ribonuclease inhibitor variants. The specification also fails to describe additional representative species of these ribonuclease inhibitor variants by sufficient **structural characteristics** or properties other than the activities recited in claim 1 and the disclosed cysteine modifications, for which no predictability of structure is apparent. Given this lack of additional representative species as encompassed by the claims, Applicants have failed to sufficiently describe the claimed invention, in such full, clear, concise, and exact

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terms that a skilled artisan would recognize Applicants were in possession of the claimed invention.

Applicant is referred to the revised guidelines concerning compliance with the written description requirement of U.S.C. 112, first paragraph, published in the Official Gazette and also available at www.uspto.gov.

Claims 1-7, 9, 10 and 15 –17 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for a mutant ribonuclease inhibitor comprising the amino acid sequence of SEQ ID NO: 3, wherein said mutation is a substitution in one of its two adjacent cysteine residues to an amino acid residue not capable of forming a disulfide bond, the mutant ribonuclease inhibitor having a greater resistance to oxidation, the mutant ribonuclease inhibitor retaining its specificity and binding affinity to ribonuclease, does not reasonably provide enablement for any variant ribonuclease inhibitor having at least one amino acid substitution in at least one of its adjacent cysteine residues to an amino acid residue not capable of forming a disulfide bond, the mutant ribonuclease inhibitor having a greater resistance to oxidation, the mutant ribonuclease inhibitor retaining its specificity and binding affinity to ribonuclease. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention commensurate in scope with these claims.

This rejection was stated in the previous office action as it applied to previous claims 1-7, 9, 10 and 15. In response to the previous rejection, applicants have

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amended claims 1-3, 9 and 15, and added new claim 17 and traverse the rejection as it applies to the newly amended claims.

As noted above, applicants amend Claims 1-2, 9 and 15 to recite additional structural requirements (i.e., limit the native RI to SEQ ID NOS:2 and 3) and point out that the examiner has acknowledged that the specification is enabled for SEQ ID NO:3. Applicant submits that the specification is also enabled for SEQ ID NO:2, which shows an adjacent pair of cysteine residues at 324 and 325, which is reflected in new Claim 17. Applicant respectfully requests reconsideration of this rejection as applied to Claims 1-7, 9-10 and 15.

As above, applicants complete argument and amendments are acknowledged and have been carefully considered, however, continue to be found non-persuasive for the reasons previously made of record and for those repeated herein. Applicant's amendment and supporting argument is not persuasive because applicant's amendment continues to describe the native RI from which the variant RI was generated, and not the claimed variant RI itself.

As stated above, applicant's arguments continue to be along the same principal of reason previously presented. Applicants referred to changes to the claim continue to be directed to the "means by which" the final product is obtained, rather than to the actual final claimed product (i.e. the ribonuclease inhibitor variant or mutant). As these means or processes do not place limitations on the final claimed product, applicants have not thus limited the scope of the claimed product and the scope of the claimed product continues to not be enabled for the reasons previously stated.

With respect to the enablement of the claimed genus, applicants specification does not support the broad scope of the claims which encompass all modifications and fragments of any mutant ribonuclease which **does not** comprise said cysteine mutations because the specification does **not** establish: (A) regions of the protein structure which may be modified without effecting ribonuclease inhibitor activity and oxidative resistance; (B) the general tolerance of ribonuclease to modification and extent of such tolerance; (C) a rational and predictable scheme for modifying any amino acid residues with an expectation of obtaining the desired biological function; and (D) the specification provides insufficient guidance as to which of the essentially infinite possible choices is likely to be successful.

Thus, applicants have not provided sufficient guidance to enable one of ordinary skill in the art to make and use the claimed invention in a manner reasonably correlated with the scope of the claims broadly including any number of amino acid modifications of any ribonuclease inhibitor. The scope of the claims must bear a reasonable correlation with the scope of enablement (*In re Fisher*, 166 USPQ 19 24 (CCPA 1970)). Without sufficient guidance, determination of those mutants having the desired biological characteristics is unpredictable and the experimentation left to those skilled in the art is unnecessarily, and improperly, extensive and undue. See *In re Wands* 858 F.2d 731, 8 USPQ2nd 1400 (Fed. Cir, 1988).

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-7, 9, 10, 15 and 17 are rejected under 35 U.S.C. 102(b) as being anticipated by Blazquez et al. (Journal of Biological Chemistry, Vol 271, pp 18638-18642, 1996).

This rejection was stated in the previous office action as it applied to claims previous claims 1-7, 9, 10 and 15. In response to the previous rejection, applicants have amended claims 1-3, 9, 15 and 16, added new claim 17 and traverse the rejection as it applies to the newly amended claims.

Applicants traverse this rejection on the basis that Blazquez et al. does not disclose human RI and Blazquez et al. does not relate to a modified RI having at least one amino acid substitution in at least one of two adjacent cysteine residues in the amino acid sequence of the native RI. Applicants submit that instead, Blazquez et al. relates to porcine RI and that Blazquez et al. only showed that cysteine residues of porcine RI can be oxidized intracellularly, which caused inactivation and disappearance of the RI from cells. Applicants submit that because Blazquez et al. made no structural alterations to porcine RI, Blazquez et al. does not anticipate the pending claims.

Applicant's complete argument is acknowledged and has been carefully considered, however, is not found persuasive on the following basis.

It is admitted that Blazquez et al. do not teach the human RI and applicants are reminded that applicants claims are not drawn to the human RI. As previously stated, Blazquez et al. teach the porcine ribonuclease inhibitor, which meets all of the structural limitations of the claimed ribonuclease inhibitor variant or mutant. The porcine ribonuclease inhibitor inherently meets all of the amino acid structural requirements of the claimed ribonuclease inhibitor. If layer side by side, the porcine ribonuclease inhibitor would be structurally identical to that "ribonuclease inhibitor" claimed by applicants and thus it would inherently also maintain all of the functional characteristics associated with such a structurally identical ribonuclease inhibitor.

These structural limits include applicant's most recent amendment requiring that "the variant differing from the native RI by a substitution in at least one cysteine of at least one pair of adjacent cysteine residues present in the native RI amino acid sequence...". It is noted that claim 17 is included in this rejection because the claims recites that the ribonuclease inhibitor is a porcine RI (SEQ ID NO: 2) and this is interpreted as the native RI is porcine. Thus this claim is rejected for the reasons stated above for the other claims.

While the reference does not specifically disclose the ribonuclease inhibitor produced by an engineered process (as recited by the claims), the production of a protein by a particular process does not impart novelty or unobviousness to a protein when the same protein is taught by the prior art. This is particularly true when the properties of the protein are not changed by the process in an unexpected manner. See *In re Thorpe*, 227 USPQ 964 (CAFC 1985); *In re Marosi*, 218 USPQ 289, 292-293

(CAFC 1983); In re Brown, 173 USPQ 685 (CCPA 1972). Since the Office does not have the facilities for examining and comparing applicants' protein with the protein of the prior art, the burden is on applicant to show a novel or unobvious difference between the claimed product and the product of the prior art (i.e., that the protein of the prior art does not possess the same material structural and functional characteristics of the claimed protein). See In re Best, 562 F.2d 1252, 195 USPQ 430 (CCPA 1977) and In re Fitzgerald et al., 205 USPQ 594.

Thus claims 1-7, 9, 10, 15 and 17 remain anticipated by Blazquez et al.

The rejection of claim 16 under 35 U.S.C. 102(b) as being anticipated by Lee et al. (Biochemistry, 27, pp 8545-8553, 1988) is hereby withdrawn based upon applicants amendment.

Remarks

No claim is allowable.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Richard G. Hutson whose telephone number is (571) 272-0930. The examiner can normally be reached on 7:30 am to 4:00 pm, M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ponnathapu Achutamurthy can be reached on (571) 272-0928. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

A handwritten signature in black ink, appearing to read 'R. G. Hutson', with a long horizontal line extending to the right.

Richard G Hutson, Ph.D.
Primary Examiner
Art Unit 1652

rg
9/18/2007